

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Christopher W. Bratteli

Examiner: Navin Natnithithadha

Serial No.:

10/780,960

Group Art Unit: 3736

Filed:

February 17, 2004

Docket No.: 120.020US2

Title:

METHOD AND APPARATUS FOR CALIBRATING AND MEASURING

ARTERIAL COMPLIANCE AND STROKE VOLUME

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

- This Transmittal and a Return Postcard;
- A Supplemental Information Disclosure Statement (1 page);
- A Form PTO/SB/08 (Substituted for Form 1449) (4 pages)
- Copies of twenty-six (26) foreign patent documents, and non-patent documents, cited in this SIDS.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 502931.

DATE: SEPTEMBER 7, 2005

LEMAIRE PATENT LAW FIRM, PLLC.

PO Box 11358

St. Paul. MN 55111 CUSTOMER NO: 40064 NAME: CHARLES A. LEMAIRE

REG. No. 36,198

Date of Deposit: September 7, 2005

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited on this 7th day of September, 2005 with the United States Postal Service with sufficient postage as first-class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gregory A. Lemaire

Name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chr

Christopher W. Bratteli

Examiner: Navin Natnithithadha

--- Serial No.:

1 8 2005

10/780,960

Group Art Unit: 3736

t: February 17, 2004

Docket No.: 120.020US2

ARTERIAL COMPLIANCE AND STROKE VOLUME

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

METHOD AND APPARATUS FOR CALIBRATING AND MEASURING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form PTO/SB/08 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the PTO/SB/08 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to the Strategic Plan Final Rule, effective October 21, 2004, Applicant believes copies of cited U.S. patents and U.S. patent application publications are not required to be provided with an Information Disclosure Statement. If copies of cited U.S. issued patents or U.S. patent applications are required for this application, please notify the Applicant's Representative.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with this Supplemental IDS. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 502931 in order to have this Supplemental IDS considered. The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted, CHRISTOPHER W. BRATTELI By his Representatives,

LEMAIRE PATENT LAW FIRM, PLLC P.O. BOX 11358 ST. PAUL, MN 55111 TELEPHONE: 952-278-3500

Date	September 7,	2005	By harly		7	lucer.	<u> </u>
			Charles A. Lem	naiı	e	Reg. No. 36,198	

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited on this 7th day of September, 2005 with the United States Postal Service with sufficient postage as first-class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Gregory A. Lemaire	Gregon & Semane
Name	Signature / J

PTO/SB/084/08-03)
Approved for use through 07/31/2006. OMB 0651-0031
US Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE.
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known 10/780,960 **Application Number** February 17, 2004 Filing Date **First Named Inventor** Bratteli, Christopher **Group Art Unit** 3736

Navin Natnithithadha

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Sheet 1 of 4

Attorney Docket No: 120.020US2

Examiner Name

US PATENT DOCUMENTS						
Examiner Initial *	Cite No. *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines,Where Relevant Passages or Relevant Figures Appear	
		US-3,651,353	03/21/1972	Hugli, Hans W., et al.		
		US-3,766,616	10/23/1973	Staudte, Juergen		
		US-4,409,983	10/18/1983	Albert, D. E.		
		US-4,431,873	02/14/1984	Dunn, Robert G., et al.		
		US-4,672,976	06/16/1987	Kroll, Mark W.		
		US-4,784,154	11/15/1988	Shirley, Donald J., et al.		
		US-4,889,133	12/26/1989	Nelson, Craig H., et al.		
		US-4,947,859	08/14/1990	Brewer, James E., et al.		
		US-4,949,710	08/21/1990	Dorsett, Thomas J., et al.		
		US-4,993,422	02/19/1991	Hon, Edward H., et al.		
		US-5,035,247	07/30/1991	Heimann, Jochen		
		US-5,054,493	10/08/1991	Cohn, J. N., et al.		
		US-5,211,177	05/18/1993	Chesney, Charles F., et al.		
		US-5,240,007	08/31/1993	Pytel, Kenneth J., et al.		
		US-5,241,964	09/07/1993	McQuilkin, Gary L.		
		US-5,269,312	12/14/1993	Kawamura, Norio, et al.		
		US-5,316,004	05/31/1994	Chesney, Charles F., et al.		
		US-5,337,750	08/16/1994	Walloch, Richard A.		
		US-5,479,377	12/26/1995	Lum, Paul , et al.		
		US-5,524,637	06/11/1996	Erickson, J. W.		
		US-5,533,511	07/09/1996	Kaspari, William J., et al.		
	1	US-5,544,651	08/13/1996	Wilk, P. J.		
	1	US-5,551,437	09/03/1996	Lotscher, B.		
	1	US-5,551,438	09/03/1996	Moses, J. W.		
	1	US-5,560,366	10/01/1996	Harada, C, et al.		
.		US-5,577,508	11/26/1996	Medero, Richard		
* .		US-5,584,298	12/17/1996	Kabal, J.		
		US-5,590,661	01/07/1997	Ohmori, K., et al.		
		US-5,592,401	01/07/1997	Kramer, J. F.		
	 	US-5,617,868	04/08/1997	Harada, C., et al.		
		US-5,623,933	04/29/1997	Amano, K., et al.		
	1	US-5,638,823	06/17/1997	Akay, , et al.		
	1	US-5,640,964	06/24/1997	Archibald, G. K., et al.		
	<u> </u>	US-5,642,733	07/01/1997	Archibald, G. K., et al.	1	
	<u> </u>	US-5,647,369	07/15/1997	Petrucelli, S. P., et al.		
	<u> </u>	US-5,649,542	07/22/1997	Archibald, G. K., et al.		
		US-5,671,750	09/30/1997	Shinoda, M.		

EXAMINER

DATE CONSIDERED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE	Complete if Known	soli soliqui di di diporti di solimini	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	10/780,960	
	Filing Date	February 17, 2004	
	First Named Inventor	Bratteli, Christopher	
	Group Art Unit	3736	
	Examiner Name	Navin Natnithithadha	
Sheet 2 of 4	Attorney Docket No: 12	20.020US2	

	US-5,679,999	10/21/1997	Nagahama, Shouhei	
	US-5,704,362	01/06/1998	Hersh, Lawrence T., et al.	
	US-5,752,919	05/19/1998	Schrimpf, Kathleen	
	US-5,772,620	06/30/1998	Szlema, I., et al.	
	US-5,845,643	12/08/1998	Vergano, E. M., et al.	
	US-5,908,027	06/01/1999	Butterfield, R. D., et al.	
	US-6,017,313	01/25/2000	Bratteli, Christopher W., et al.	
	US-6,186,954	02/13/2001	Narimatsu, K.	
	US-6,210,340	04/03/2001	Amano, K., et al.	
****	US-6,331,161	12/18/2001	Chesney, Charles F., et al.	
	US-6,554,774	04/29/2003	Miele, F. R.	,

	Cite No. *	Foreign Document No			Pages,Columns,Lines,	
Examiner Initials*	No.	Country Code – Number – Kind Code (If known)	Publication Date	Name of Patentee or Applicant of cited Document	Where Relevant Passages or Relevant Figures Appear	· T²
		DE-4190521	03/12/1990	von Bezold, D. , et al.		
		EP-0357275A1	03/07/1990	Zanetti, J. M., et al.		
		EP-EP 0253375	01/20/1988	Fukada, T., et al.		•
		JP-JP 60-102777	06/06/1985	Arai, Yuji		
		WO-87/02233	04/23/1987	Furugard, E., et al.		
		WO-92/09232	06/11/1992	Peel, H.		
		WO-94/05207	03/17/1994	Reeves, W., et al.		
		WO-95/06525	03/09/1995	Kassal, J., et al.		

Examiner	Cite	THER DOCUMENTS NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,	T²
Initials*	No 1	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		"Acoustic Contact Sensor", Apollo Research Corp., (August, 1997), 1-5	
		"Clues to the Aging Process of Arteries", <u>Harvard Heart Letter</u> , 8(2) <u>Harvard Medical School</u> , (October 1997), 4 pgs.	
		"Guide to Modern Piezoelectric Ceramics", Morgan Matroc, Inc. Electro Ceramics <u>Division.</u> 6 pages	
		Harvard Heart Letter, Harvard Medical School, 7(7), (March 1997), 5 pgs.	

EXAMINER DATE CONSIDERED

PTO/SB/08A(08-03)
Approved for use through 07/21/2006. OMB 0651-0031
US Pleant & Trademark Office: U.9, DEPARTMENT OF COMMERCE,
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO INFORMATION DISCLOSURE Complete if Known STATEMENT BY APPLICANT **Application Number** 10/780,960 (Use as many sheets as necessary) February 17, 2004 **Filing Date First Named Inventor** Bratteli, Christopher **Group Art Unit** 3736 **Examiner Name** Navin Natnithithadha Attorney Docket No: 120.020US2 Sheet 3 of 4

	0	THER DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		"Nellcor's N-CAT Continuous Noninvasive Blood Pressure Monitor, Model N-500", (1991), 9 pages	
		"Non-Invasive Blood Pressure/Pulse Rate Monitoring and Recording System", Portfolio Health Series, Pulse Metric, Inc. 6 pages	
		BING, R J., et al., "Reversal of Acetylcholine Effect on Atherosclerotic Coronary Arteries by Estrogen: Pharmacologic Phenomenon of Clinical Importance?", <u>Journal of the American college of Cardiology</u> , 20(2), (August 1992), 458-9	
		BRINTON, T.J., et al., "Non-Invasive Arterial Waveform Analysis and Blood Pressure Measurement", Presented at the American Society of Hypertension Conference, 1994	
		BRINTON, T.J., et al., "Arterial Compliance by Cuff Sphygmomanometer", Hypertension, 28(4), (October 1996), 599-603	
		BRINTON, T.J., et al., "The Development and Validation of a New Non-invasive Method to Evaluate Ventricle Function During Routine Blood Pressure Monitoring", American Journal of Hypertension, 10(4) Part 2 (Abstract Issue), (1997), 2 pages	
		COHN, J. N., et al., "Noninvasive Pulse wave Analysis for the early detection of Vascular Disease", <u>Hypertension</u> , 26, (September, 1995), 503-508	
		GLASSER, S.P., et al., "Vascular Compliance and Cardiovascular Disease", AJH, 10(10), Part 1, (October 1997), 1175-1189	
		KLUGER, J., "Beyond Cholesterol", Time, (August 4, 1997), 48	
		MCVEIGH, G. E., et al., "Age-Related Abnormalities in Arterial Compliance Identified by Pressure Pulse Contour Analysis", <u>Hypertension</u> . 1999;33:1392-1398. (June 1999), 1392-1398	
		MCVEIGH, G.E., et al., "Vascular Abnormalities Associated with Long-term Cigarette Smoking Identified by Arterial Waveform Analysis", <u>The American Journal of Medicine</u> , 102, (March 1997), 227-231	
		RAJKUMAR, et al., "Hormonal Therapy Increases Arterial Compliance in Postmenopausal Women", <u>JACC</u> , 30(2), (August 1997), 350-356	

EXAMINER	DATE CONSIDERED

PTO/SB/08A(08-03)
Approved for use through 07/31/2006, OMB 0851-0031
US Platent & Tradement Office: U.S. DEPARTMENT OF COMMERCE
on of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE	Complete if Known	18013 18 (4)(mid. 0) (3)(mid. 0) 8 (0)(0)(mid. 0) (1)(mid. 0) (1)(mid. 0) (1)(mid. 0) (1)(mid. 0) (1)(mid. 0)	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	10/780,960	
•	Filing Date	February 17, 2004	
	First Named Inventor	Bratteli, Christopher	
	Group Art Unit	3736	
	Examiner Name	Navin Natnithithadha	
Sheet 4 of 4	Attorney Docket No: 12	20.020US2	

		THER DOCUMENTS NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where published.	T
		SIMON, et al., "Detection of Preclinical Atherosclerosis May Optimize the Management of Hypertension", <u>AJH, 10(7) Part 1,</u> (July 1997), 813-824	
		YOSHIZAWA, et al., "Classical but Effective Techniques for Estimating Cardiovascular Dynamics", IEEE Engineering in Medicine & Biology Magazine, 16(5), (Sept-Oct 1997), 106-112	

Filed:	September 7, 2005	
i iicu.	September 7, 2003	

EXAMINER

DATE CONSIDERED